Application for United States Patent

of

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for

5   "Grouped Access Control List Actions"

CROSS-REFERENCE TO RELATED APPLICATIONS

(CLAIMING BENEFIT UNDER 35 U.S.C. 120)

Not applicable.

FEDERALLY SPONSORED RESEARCH

10   AND DEVELOPMENT STATEMENT

This invention was not developed in conjunction with any Federally sponsored

contract.

MICROFICHE APPENDIX

Not applicable.

15   INCORPORATION BY REFERENCE

Not applicable.

BACKGROUND OF THE INVENTION

Field of the Invention

[0001] This invention relates to the arts of securing access to resources (objects) on networked server computers for use by authenticated client computers, and especially to methods and systems which allow for extensions to and grouping of permitted actions to protected objects.

Description of the Related Art

[0002] Many organizations now value the public Internet and private intranets as effective and vital mediums for global communication. Electronic commerce has rapidly become an essential component of many business marketing strategies. Educational institutions rely on the Internet for long-distance learning. On-line services allow individuals to send electronic mail and to tap the Web's vast encyclopedia of resources. Traditional applications, such as TELNET and POP3, still prevail as important network services.

[0003] Businesses want to use the Internet as a global commercial and distribution vehicle, but have been hindered by the lack of proven security policy mechanisms and management systems. A mixture of dissimilar hardware and software usually impacts a network in the following ways:

        (a) no centralized control of security for applications;

        (b) no unified resource location naming convention;

        (c) no common support for high availability of applications; and

(d) no common support for scalable growth.

[0004] New business models require organizations to expose their information resources to a previously unthought of degree. These businesses need to know that they can securely control access to those resources.

5   [0005] Managing security policy and users access rights across distributed networks has proven difficult for Information Technology (IT) managers, especially since individual application and system vendors implement authorization in their own proprietary fashion.

[0006] In most computer resource security systems, authorization processes are

10   distinct from authentication processes. Authentication, which is typically performed first, ensures that the individual (or client process) is who he or she claims to be, but determines nothing about his or her specific rights to perform operations on a protected computer resource. Part of the typical authentication process involves the acquisition of a "credential" that describes the identity of the client.

15   [0007] Following authentication, an authorization process determines whether an authenticated user has the right to perform a specific operation on a specific resource in a secure domain. Authorization decisions are typically made based on the user's credentials.

[0008] Companies realize that developing new authorization services for each

20   enterprise application is an expensive process that leads to a difficult-to-manage infrastructure. A centralized authorization service that is accessed by developers via a

standardized API greatly speeds the time to market, and reduces the total cost of

ownership of an application or a system.

[0009]   In network security parlance, there are several terms commonly in use. For

better understanding of the present invention, a definition of some of these terms is

5   presented. A "Secure Domain" is a group of users, systems, and resources that share

common services and usually function with a common purpose. An "Access Control

List (ACL) policies" are the security mechanisms that provide users the permissions to

perform specific operations, or actions on protected resources. "Authentication" is

the process of identifying any individual attempting to login to a secure domain,

10   resulting in the generation of user credentials. "Authorization" is the process

performed for determining whether an individual user has the right to perform an

operation on a protected resource. "Credentials" are detailed information, acquired or

generated during authentication, describing the user and other security-related identity

attributes. "Protected Object Policy" ("POP") refers to the security mechanism that

15   dictates special conditions for accessing a protected resource after a successful ACL

policy check. A user "registry" is the datasource (LDAP, DCE, or Domino) that

maintains account information for users and groups of users who are allowed to

participate (use) in the secure domain.

[0010]   Many of the security management systems use a finite set of permission

20   indicators, such as alphabetic characters, within entries in the policy database or

repository to indicate a user's or user group's rights to access and use a protected

object to which the policy is attached. This finite set of permission indicators sets a

finite limit as to how many protected objects may be controlled using the syntax of the system's policy repository.

[0011]   Finite limitations of the number of policy permissions in an ACL entry are undesirable facets of a network security management system, which complicates and limits the ability of a security administrator to effectively implement and manage a security plan. Therefore, there is a need in the art for a method to extend the finite number of ACL permissions for security policy management systems.

[0012]   Further, certain types of actions can be categorized into logical groupings, such as actions commonly needed by Java applications, or actions commonly needed by local administrators. In order to allow more effective management of actions by such categorization, there exists a need in the art for a system and method to define sets of actions into action groups.

BRIEF DESCRIPTION OF THE DRAWINGS

[0013] The following detailed description when taken in conjunction with the figures presented herein provide a complete disclosure of the invention.

[0014] Figure 1 discloses the organization and relationship of software for both client and server systems.

[0015] Figure 2 shows a generalized authorization model.

[0016] Figure 3 shows the organization of an Authorization Service in three primary components.

[0017] Figure 4 sets forth details of the two interfaces of the Authorization Service where interaction takes place.

[0018] Figure 5 shows an example access control list policy, or "ACL policy", containing a set of controls or permissions that specifies the conditions necessary to perform certain operations on that resource.

[0019] Figure 6 illustrates the capabilities of a security policy management server system Management Console, which is used to manage security policy in a secure domain.

[0020] Figure 7 illustrates an authorization process in detail.

[0021] Figure 8 shows details of the enhanced ACL policy of the invention, consists of one or more entries that include action group designations within permission lists.

[0022] Figure 9 sets forth the logical process of determining resource authorization of a requesting user based upon the enhanced ACL policies, including the possibility of granting permissions based upon an action group definition.

SUMMARY OF THE INVENTION

[0023]   Access Control Lists (ACLs) are used to describe the permitted actions (permissions) on protected network computer system resources or objects associated with an client or user identity. An identity may be an individual user or group of users. The actions are used to represent the different access methods available on a particular projected object or resource. A new action grouping mechanism has been defined which tags each action with an action group name. The grouping of actions facilitates a larger permission set to be defined in an ACL, whereas action permission indicators can be reused for unique action definitions within various action groups. This effectively extends the finite total number of permissions available within a security system, allows a more descriptive and extensible permission mechanism in an Access Control List, as well as aiding in the simplification of management and definition of security policies.

DETAILED DESCRIPTION OF THE INVENTION

[0024]   The present invention is preferably realized in conjunction with Tivoli's

Policy Director [TM] product, running on a suitable operating system, such as HP-UX

[TM], Solaris [TM], UNIX, or IBM's AIX [TM]. Policy Director [TM] may be run

5   on any suitable networked computer server platform, such as "personal computers", as

well as mainframe servers. It will, however, be recognized by those skilled in the art,

that the authorization process disclosed herein may be realized individually,

independently, or as an improvement to another authorization process on an alternate

server platform.   As such, the following detailed disclosure is given with respect to

10   the preferred embodiment associated with the Policy Director [TM] product, which

should not be viewed as a limitation or requirement of the present invention.

[0025]   In a typical security policy system, an authentication service first accesses the

user registry to determine if the user exists in the registry, as well as to determine any

user groups to which the user may belong. For example, if the user is an employee of

15   ABC Corp., and his user name is B_Smith, the registry will contain an entry

authenticating the user "B_Smith", and possibly a user group definition including

"B_Smith" as a member of a group such as "ABC_employees".   The authentication

service then prepares a data set of "credentials" indicating that B_Smith is

authenticated, and that B_Smith is a member of user group "ABC_employees".

20   [0026]   These credentials, along with the action requested and the protected object to

which the action is requested, are passed into an authorization service for

determination if the user, or a user group to which he or she belongs, is authorized

(permitted) to perform the requested action on the requested protected object.

[0027] Turning to Figure 1, a typical security policy management system is shown,

which includes software for both client (12, 13) and security server (11) computer

5 systems, all of which are communicably interconnected by a computer network such as

an intranet, local area network, or the Internet.

[0028] A Management Console (14) may provide a graphical administrator interface

to manage security policy for the secure domain. From the Management Console

(14), a security administrator can perform administrative tasks on the user registry and

10 the master authorization policy database. Typical console tasks include adding and

deleting user accounts, and applying ACL and POP policies to objects.

[0029] Additionally, a command line utility may provide a means for performing all

system tasks, whereas typically a Management Console only provides a limited range

of tasks.

15 [0030] A Security Policy Server System (15) is an LDAP or DCE server that

provides authentication services, maintains a centralized registry database which

contains account entries for all valid users who participate in the secure domain,

provides authorization services (for properly authenticated users), and maintains the

ACL policies.

20 [0031] The Security Policy Server (15) performs two important roles:

    (a)   defines the roles the user can assume; and

    (b)   provides authentication services for all login attempts.

[0032]   The Security Server can replicate the registry database throughout the secure

domain to prevent a single point of failure. The Security Server is responsible for

updating all replica databases whenever a change to the master registry occurs.

[0033]   The Security Policy Server System (15) also maintains the master

5   authorization policy database for the secure domain. It is also responsible for updating

all authorization database replicas throughout the secure domain, as needed. The

Server also maintains location information about the other security servers in the

secure domain.

[0034]   For the client machines (12),a small, light-weight network support module

10   (18) that works as a secure proxy for client applications may be provided to allow

end-to-end encryption over a GSSAPI tunnel of all client/server traffic, especially for

non-Secure Socket Layer (SSL) enabled browsers.

[0035]   A Security Policy Server System may also include an Authorization API

(AuthAPI) that allows developers build security and authorization directly into

15   corporate applications through use of a standardized interface to the authorization

service.

[0036]   Figure 2 shows a general authorization model of a security policy

management system. Applications may use the function calls provided by AuthAPI to

communicate to the Authorization Service (21). The Authorization Service (21)

20   functions as the authorization decision-making evaluator.

[0037] When a client or user initially requests permission to perform an action on a protected object, such as requesting to "read" or "modify" a protected system file, the client's ID is first authenticated by the authentication service. This results in a set of credentials being created, including the authenticated user's ID or user name, a list of

5   user groups to which the user may belong, the name of the protected object being requested, and the action being requested. For example, the credentials and authorization request for Bill Smith of ABC Corporation, as discussed before, attempting to "modify" a protected system file "ABC_401k_summaries" may contain parameters such as:

10   B_Smith, ABC_employees, "modify", "ABC_401k_summaries".

[0038] The Policy Enforcer (24) of the Resource Manager (22) then uses the AuthAPI to forward an authorization decision request ("authorization check") to the Authorization Service (21).

[0039] The Authorization Service returns to the Resource Manager (22) a

15   recommendation based on security policy, such as a "yes" or "no" indicator, based upon a query of the security policy attached to the requested protected object.

[0040] Turning to Figure 3, an Authorization Service (21) can be comprised of three basic components:

        (a) a Master Authorization Policy (31) database;

20   (b) a Management Server (32); and

(c) the Authorization decision-making Evaluator (33).

[0041]   The Master Authorization Policy (31) database contains the security policy

information for all resources within the secure domain. The aforementioned

Management Console may be used to enter and modify the contents of this database.

5   [0042]   The Manager Server (32) maintains the Master Authorization Policy

database, replicates this policy information throughout the secure domain, and updates

the database replicas whenever a change is made to the master. The Management

Server (32) also maintains location information about the other security policy

management servers operating in the secure domain.

10   [0043]   The Authorization Evaluator (33) is the decision-making process that

determines a particular requesting client's ability to access a protected resource based

on the security policy. The evaluator makes its recommendation to the resource

manager which, in turn, allows or disallows a client to complete an action on a

protected resource object.

15   [0044]   The Authorization Service (21) has two interfaces where interaction takes

place, as shown in Figure 4, the Management Interface (41) and the Authorization API

(42).

[0045]   Through the Management Interface (41), the security administrator may

manage the security policy of the network by using a Management Console and/or a

20   command line utility to apply policy rules (e.g. templates) on network resources and

register the credentials of participants in the secure domain. The Management

Console applies this security policy data to the master authorization policy database

via the Management Server.   A policy rule for a protected object may be created and

attached to a protected object so that any request to perform an action on that

protected object results in the attached policy rules being consulted by the

5   Authorization Service (21).

[0046]   The second interface, the Authorization API (42), passes requests for

authorization decisions from the resource manager to the authorization evaluator (33),

which then passes back a recommendation or decision result.

[0047]   The Authorization Service (21) uses a central database that lists all resources

10   in the secure domain and the ACL and POP policies assigned (attached) to each

resource. This master authorization policy (31) database and the user registry

(containing user and group accounts) are the key components that help define a

network security policy.

[0048]   As shown in Figure 5, an access control list policy (50) or "ACL policy", is

15   the set of controls or permissions that specifies the conditions necessary to perform

certain operations on the protected resource object to which it is attached.

[0049]   An ACL policy is made up of one or more entries that include user specific

permissions or rights. ACL policies provide the Authorization Service with

information to make a "yes" or "no" answer on a specific request to access a protected

20   object, and to perform some operation on that object.

[0050]   Each entry on the ACL policy contains a "type", such as a single user's set of

permissions, or a set of permissions to an entire group of users. For example, the

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multiple entry ACL shown may be attached to the system file

"ABC_401k_summaries", and it shows that user "B_Smith" has certain permissions or

is allowed certain actions to this file (explained in more detail in the following text).

[0051]   Turning to Figure 6, a Management Console (60) is typically a graphical

5   application used to manage security policy (64) in a secure domain. The command

line utility provides the same administrative capabilities as the Management Console,

plus commands not supported by the Console.

[0052]   From the Management Console or command line utility, a security

administrator can manage the user registry (63), the master authorization policy

10   database (64), and all Policy Director servers. The administrator can also add and

delete users, and apply ACL and POP policies to network objects.

[0053]   Figure 7 illustrates a typical authorization process in greater detail. First,

subsequent to client authentication, an the request for permission to take a specific

action on a specific protected resource (23) is directed to the resource manager server

15   (22), and intercepted by the policy enforcer process (24).

[0054]   Next, the policy enforcer process (24) uses the Authorization API to call the

Authorization Service (21) for an authorization decision, passing to it the credential

set created by the authentication process including the authenticated user ID, list of

groups to which the user may belong, an indicator of the specific action requested, and

20   an indicator of the specific protected object upon which action is requested.

[0055]   Then, the Authorization Service (21) performs an authorization check on the

resource, represented as an object in the protected object space. The ACL policy (50)

attached to the targeted or requested object is checked against the client's (25)

credentials to determine if he or she has specific rights to perform the requested action.

[0056] The decision to accept or deny the request is returned as a "recommendation"

to the resource manager (22) via the policy enforcer (24). If the request is approved,

the resource manager (22) passes the request on to the application responsible for the

resource, and the action may be allowed.

[0057] In most systems, each ACL policy has a unique name, or label. Each ACL

policy can be applied (attached) to one or more objects. An ACL policy (50) as

shown in Figure 5 consists of one or more entries that include user and group

designations, and their specific permissions.

[0058] A typical ACL entry contains either two or three attributes depending on the

ACL entry type, and appears in the format:

        type ID permissions

    where:

        (a) "type" is the entity category (user or group) for which the ACL

            was created.

        (b) "ID" (e.g. "Identity") is a unique identifier or name of the entity.

            The ID attribute is not required for the any-authenticated and

            unauthenticated ACL entry types.

(c) "permissions" (e.g. "actions") indicates the set of allowable or

permitted operations on the resource object by this user or group.

[0059]   An ACL entry for a "user" type represents any authenticated Policy Director

identity. Typically, users represent network users or application servers.

5   [0060]   An ACL entry for a "group" type is a set of permissions allowed for an entire

group users. A network administrator can use group ACL entries to easily assign the

same permissions to multiple users. New users to the secure domain gain access to

objects by becoming members of appropriate groups. This eliminates the need to

create new ACL entries for every new user -- the user must only be entered into a user

10   group definition in the user registry, which results in the authentication process

including this group name in the user's credentials, which then results in authorization

for any actions allowed to the group in the ACL. Users and groups are collectively

referred to as "entities."

[0061]   Most permissions dictate the client's ability to perform a specific operation

15   on the resource to which the ACL policy is applied or attached. For example, an ACL

entry such as:

user, adam, - - - - - - - - - - - - - r -

allows the user named "adam" permission to read or view the object protected

by this ACL policy. The "r" permission allows the read operation.

An ACL entry type identifies the user, group, or special entity for a specific ACL entry as shown in TABLE 1.

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TABLE 1. ACL Entry Type Definitions

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TYPE	DESCRIPTION
user	Sets permissions for a specific user in the secure domain. The user must be a member of the secure domain with an account in the registry. The user entry type requires a user name (ID). The entry format is: user ID permissions For example: user anthony - - - - - - -T - - - - -r-
group	Sets permissions for all members of a specific group in the secure domain. The group entry type requires a group name (ID). The entry format is: group ID permissions For example: group engineering - - - - - - - T - - - - -r-
any-authenticated (also known as "any-other")	Sets permissions for all authenticated users. No ID designation is required. The entry format is: any-authenticated permissions. For example: any-authenticated - - - - - - -T- - - - -r-
unauthenticated	Sets permissions for those users who have not been authenticated by the Security Server. No ID designation is required. The entry format is: unauthenticated permissions For example: unauthenticated - - - - - - -T- - - - -r- This ACL entry is a mask (a bit-wise "and" operation) against the any-authenticated ACL entry to determine the permission set. For example: unauthenticated - - - - - - - - - - - -r- masked against this any-authenticated ACL entry: any-authenticated - - - - - - -T- - - - -r- results in these permissions:

- - - - - - - - - - - - -r- (read only).

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[0062] The ACL entry "ID" is the unique identifier, or name, for a user or group

entry type. ID's must represent valid users and/or user groups created for the secure

5   domain and stored in the registry database.

Examples:

user michael

user anthony

group engineering

10   group documentation

group accounting

[0063] Each ACL entry contains a set of "permissions" (or actions) that describe the

specific operations permitted on the object by the user or group. ACL policies control

protected resources in the following ways:

15   (a) a user's ability to perform operations on protected objects;

(b) an administrator's ability to change access control rules on the

object and any sub-objects; and

(c) policy Director's ability to delegate user's credentials.

[0064] ACL permission indicators (e.g. the letters used in the string of permissions)

20   are context-sensitive -- the behavior of certain permissions varies according to the

region of the protected object space in which they are applied.

[0065] Typically, a finite number of policies or permissions can be controlled by an entry in an ACL due to limitations of the syntax used in the ACL format. For example, Tivoli's Policy Director [TM] product defines 18 default or pre-defined permissions or actions, and allows for a total of 32 actions including custom actions and the default actions. The Management Console divides these permissions into four categories, as shown in TABLE 3.

TABLE 3: Tivoli's Policy Director [TM] Default Permission Categories

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ACTION BIT OR INDICATOR	ACTION DESCRIPTION	CATEGORY
a	Attach	Base
A	Add	Base
b	Browse	Base
B	Bypass Time-of-Day	Base
c	Control	Base
C	Connect	NetSEAL
d	Delete	Generic
f	Forward	NetSEAL
g	Delegation	Base
l	List Directory	WebSEAL

m	Modify	Generic
N	Create	Base
r	Read	WebSEAL
s	Server Administration	Generic
T	Traverse	Base
v	View	Generic
W	Password	Base
x	Execute	WebSEAL

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[0066]   Other policy management systems may use alternate ACL entry formats and

permission indicators, but in general, there is a finite limit to the number of permissions

or actions which be can controlled by an ACL entry due to the syntax adopted by the

system provider.

[0067]   Policy Director follows the aforementioned authenticate-then-authorize

model, as do many other network security management systems.   For example, a user

accessing a Web-based retirement fund application would be able to view personal

account information after an authorization server verifies the identity, credentials, and

privilege attributes of that user.

[0068]   Policy Director also employs a standards-based Authorization API

("AuthAPI") which allows application programs to make standardized calls to the

centralized Authorization Service, thus eliminating the necessity for developers to

write authorization code for each new application.

[0069]   Whereas certain details regarding the operation of a typical security policy

management server have been presented, and whereas these details are equally

5   applicable to Policy Director [TM], the remaining portion of this disclosure is given

relative to improvements or additions to an existing security policy management server

such as Policy Director.

[0070]   According to the present invention, the functionality of an authorization

service is extended to allow for definition of and analysis of action groups as well as

10   individual actions.   The default set of actions which are predefined by a network

security management system are maintained, and are defined to be in a group named

"Primary". The enhanced authorization service allows for the interpretation of

enhanced permission lists in ACL entries such that permission indicators can be reused

in custom action groups.

15   [0071]   For example, the permission indicator "r" in the Primary Action Group may

be pre-defined to mean "read", as described in the BACKGROUND OF THE

INVENTION previously.   According to the invention, an enhanced ACL allows a

new action group (or multiple new action groups) to be defined, such as

"backup_actions" and "restore_actions".

20   [0072]   In these new action groups, the permission indicator "r" may be reused or

redefined for an action other than that of the Primary Action Group (or for other

action groups, for that matter). Each new action group can be viewed as a container

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of actions of up to the maximum limit allowed by the ACL entry syntax. For example,

Policy Director provides 32 action or permission indicators, so an enhanced ACL

would allow 32 groups or containers of up to 32 action or permission indicators, for a

total possible of 1032 unique permissions or actions controllable by the new ACL

5   structure.

[0073]   Further, each enhanced ACL policy has a unique name or label, and each

ACL policy can be applied to one or more protected objects.

[0074]   Turning to Figure 8, an enhanced ACL policy (80) as shown which consists

of one or more "standard" entries as previously described (82, 83 and 84), as well as

10   one or more enhanced ACL entries (85) that include user or user group designations

and their specific permissions with an enhanced permission list.

[0075]   In the case of an enhanced ACL entry, the use and definition of the "type"

and "ID" field is unchanged from conventional use and definition.

[0076]   An enhanced ACL entry, however, allows for a permission list which

15   indicates that a permission indicator is from the Primary Action Group or from another

action group. The enhanced ACL entry allows for the permission list to have Action

Group Names embedded in the permission list to indicate that action indicators

following the action group name are permissions not for the Primary Action Group,

but for the customized actions of that action group. So, the syntax for the permissions

20   list is enhanced as follows:

<action>...<action>[<action-group-name>]<action>...<action>...

where:

<action> is an action indicator, such as the a letter a-z or A-Z; and

[<action-group-name] is the name of the action group which defines

the permissions granted by the following action indicators.

5   [0077]   According to the preferred embodiment and for backwards compatibility with

standard ACL permission lists, all of the action indicators are assumed to be from the

Primary Action Group if no action group name is indicated in a permission list. For

example, as shown in Figure 8, the entry (85):

user B_Smith Trx[backup_actions]Pqx[restore_actions]Trq

10   grants to the user "B_Smith" the Primary Group Actions "T", "r", and "x", as

well as the permissions "P", "q" and "x" from the "backup_actions" action group. It

also grants the permissions "T", "r" and "q" from the "restore_actions" action group.

[0078]   The permission "x" in the Primary action group may be different than the

permission "x" from the backup_actions action group. Similarly, the actions "T" and

15   "r" from the primary group may be different from the "T" and "r" permissions from the

restore_actions action group. This allows a particular action indicator, such as a

letter or number, to be reused for different permissions from different action groups,

thereby expanding or extending the total number of actions or permissions which can

be controlled with a finite set of action indicators.

[0079] According to the preferred embodiment when implemented, a security

administrator can use a command line "action group create" command to create a new

action group using a syntax such as:

command> action group create <action-group-name>

5   where:

<action-group-name> is the name for the new action group

For example:

command> action group create backup_actions

command> action group list

10   primary

backup_actions

command> action group delete backup_actions

command> action group list

primary

15 [0080] Further according to the preferred embodiment, a security administrator can

use an "action create" command to create a new action within an action group as

follows:

command> action create <action-name> <action-label>

<action-type> <action-group-name>

20   where:

<action-name> is the action indicator letter (a-z, A-Z)

representing the action or permission;

<action-label> is a descriptive label for this action;

<action-type> is an action category including categories Base,

5   Generic, WebSEAL, NetSEAL; and

<action-group-name> is an action group where this new action

belongs (if this argument is not specified, the action is

assigned to the Primary Action Group).

For example:

10   command> action create P copy_volume Special backup_actions

command> action list backup_actions

P copy_volume Special

command> action delete P backup_actions

command> action list backup_actions

15   command>

[0081] The invention follows a specific authorization process to determine the

permissions granted to a particular user by an enhanced ACL, as shown in Figure 9.

The authorization process is performed after the authentication process has been

completed for a particular user or client.

20   [0082] First, the user ID from the authenticated credentials is matched (91) with the

requested protected object's ACL entries. The permissions granted (93) are those in

the matching entry or entries. If a match is found for the user's ID, the evaluation

stops here. If any permissions are granted from an Action Group other than Primary,

permissions according to the Action Group's definition are granted.

[0083] Next, the requested object's ACL entries are searched (94) for matches to

5   any of the user groups to which the requesting user belongs according to the user's

credentials. If any group entry is matched (95), the resulting permissions are a logical

"or" (most permissive) of the permissions granted (96) by each matching entry,

including any permissions that are granted from an Action Group other than Primary,

according to the preferred embodiment.

10   [0084] Otherwise, if no direct ACL entries are matched to either the user's ID or a

group to which the user belongs, the ACL policy for the requested resource is

searched (97) for an "any-authenticated" entry. If one exists, the permissions defined

by the "any-authenticated" entry are granted (98) to the requesting user, including any

permissions defined by action groups other than the Primary Action Group.

15   [0085] Further according to the preferred embodiment, an implicit

"any-authenticated" entity exists when there is no explicit "any-authenticated" ACL

entry, and this implicit entry grants no permissions. Thus, if no "any-authenticated"

ACL entry is found, no permissions are granted (99).

[0086] The invention evaluates an unauthenticated user by granting the permissions

20   from the ACL's "unauthenticated" entry. The "unauthenticated" entry is a mask (a

bitwise "and" operation) against the "any-authenticated" entry when permissions are

determined. A permission for "unauthenticated" is granted only if the permission also

appears in the "any-authenticated" entry.

[0087] Since "unauthenticated" depends on "any-authenticated" it makes little sense

for an ACL to contain unauthenticated without "any-authenticated." If an ACL does

5   contain "unauthenticated" without "any-authenticated," the default response is to

grant no permissions to "unauthenticated", according to the preferred embodiment.

[0088] Correspondingly, action groups may be used in the permission lists of the

"unauthenticated" and "any-authenticated" entries in the enhanced ACL policies.

[0089] Thus, by creating action group definitions, and enhancing an authorization

10   service to perform the disclosed logical processes, two objectives of the invention are

achieved. First, the ease with which a system administrator may create and manage

client rights and privileges is increased by allowing the administrator to define groups

of actions which may logically contain similar or related actions. Second, the total

number of allowable actions or permissions controlled by an ACL policy is expanded

15   by allowing action indicators to be reused within each group, each having a specific

action definition within each action group.

[0090] As such, the foregoing description has been set forth with particular details

relating to the preferred embodiment. It will be recognized by those skilled in the art

that many departures from the disclosed preferred embodiment, such as

20   implementation of the method as a "stand alone" process, or inclusion of the method

with alternate server software, operating systems or computing platforms, may be

made without departing from the spirit and scope of the invention. Therefore, the

scope of the present invention should be determined by the following claims.